



**FAIRFIELD**

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## **Introducing Brokers Agreement**

[www.fairfield-llp.com](http://www.fairfield-llp.com)

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**Fairfield Financial Services LLP**

TEL : +0044 01367 243645      FAX : +0044 01367 243645

[www.fairfield-llp.com](http://www.fairfield-llp.com)      Email: [service@fairfield-llp.com](mailto:service@fairfield-llp.com)

Address : 9A Regal Way, Faringdon, Oxon, SN7 7BX



This agreement is signed between:

Party A Fairfield Financial Services LLP (hereinafter referred to as "Fairfield ")

Address: 9A Regal Way, Faringdon, Oxon, SN7 7BX

Party B: Introducing brokers (company name): (hereinafter referred to as "IB")

Address:

Fairfield (Registration number: 505523) and regulated by the UK by the Financial Conduct Authority (FCA) authorization. Fairfield also holds British financial service licence. Fairfield provide you with providing foreign exchange trading, and other financial derivatives such as CFDs and precious metals, and other products.

Fairfield wants IB to introduce foreign exchange and noble metal and Fairfield (including gold, silver) trading client (referred to as "customer"). IB guarantees without any applicable rules, regulations, legal or regulatory requirements for registration, at the same time ensure its commitment to recommend opening accounts and accounts for Fairfield.

In this agreement, translated into any language other than English under the conditions, if there is any contradictions between the various language versions, the English version shall be.

Now both parties to reach a specific agreement as follows:

#### 1. Fairfield obligations

- 1.1 Fairfield in full access to customer information disclosure under the premise, maintain all introduced customer account.
- 1.2 Fairfield to manage customer funds, and all received customer funds in full in designated "customer funds" account.
- 1.3 Fairfield will provide the clients or their designated dealer network trading platform, implementation for financial derivatives exchange contracts and contracts for difference and other transactions.
- 1.4 Fairfield currently maintains good credit records in the UK Financial Services Authority, and strive to keep it going.
- 1.5 Fairfield during the effective period of this agreement, will ensure the financial requirements to FSA, and in accordance with the relevant provisions of timely submission of financial reports.

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## 2. IB obligations

2.1 IB should be subject to local laws, perform the following duties.

2.2 IB can not be revoked and unconditionally undertakes to comply with the "anti-money laundering and financing of terrorism act".

2.3 IB assisted customer accounts, need to ensure that the customer complete submit Fairfield require that all customer data and tables, IB may not change the Fairfield in any case document.

2.4 IB has the obligation to ensure that the account opening form and security proof, signed and accurate, real.

2.5 IB shall assist Fairfield in reasonable range, the disclosure of related information are introduced to account for the.

2.6 IB shall not be of any account, customer profitability, and organizational commitment or margin.

2.7 IB is responsible for handling, answer customer consultation and complaints, and complaints promptly and timely communication of Fairfield. Even so, Fairfield still solve customer complaints independently, and the right to represent the Fairfield and IB make the arbitration of complaints.

2.8 IB cannot be its own commitments to guarantee income or hold the customer position, or customer assets (including cash cheque).

2.9 IB written notice to Fairfield and gaining recognition, identification or words can only be used Fairfield in its advertising or publicity.

2.10 IB must disclose all commissions, add or commission sharing arrangements for customers.

2.11 The name, IB has no right to use Fairfield or any of its affiliates owned intellectual property rights or other assets or all right.

2.12 IB or any other third party, not on behalf of their customers or login account, only when the Fairfield accept legal authorization letter signed by the customer, can statement allows IB and / or any third party representative of its trade situation instead of customer transactions. If the customer is in violation of the provisions of this, then the transaction will be deemed to be illegal, in this case, the IB and the customer will not get any return commission and benefits.

2.13 IB should prohibit their clients' abnormal transactions, when Fairfield found that customer transactions, IB and customer will not receive commissions and return any.

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### 3. The customer payment and treatment

Fairfield thanks for the introduction and promotion of IB. Should immediately follow the protocols to IB pay commission, have agreed as follows:

4. IB can increase additional total no more than \$50 commission. In this case the original return commission and plus commission together as IB total return commission.

### 5. IB commitment

IB committed to Fairfield: Will be in strict accordance with the relevant laws, and fulfill all the obligations of this agreement within IB.

### 6. Security regulations

6.1 IB needs to ensure that its appeal, demand, litigation, commercial activities, interests and related expenses will not hurt Fairfield, staff and relevant agencies, including the following events:

6.2 Fairfield to IB or accounts issued instructions or command.

6.3 IB is unable to perform the obligations or violates the agreement, Fairfield has the right to unilaterally terminate the agreement.

### 7. Disclaimer

Account request Fairfield has the right to refuse customers, have the right to decide independently whether to provide trading services to a customer, or whether the execution of the instruction of the customer. In the subordinate relationship, IB doesn't belong to Fairfield staff, and this agreement did not reach IB any form of binding to Fairfield, any of the provisions of this Agreement shall not be established partner relationship is understood as Fairfield and IB.

Fairfield under this agreement or the rights and interests of compensation fails to perform or implement, can not be regarded as automatic waiver, until all the rights, pay satisfaction. Payment in this agreement has accumulated, and are not exclusive of other regulations of reimbursement.

### 8. The termination of the agreement

This Agreement may be terminated under any of the following circumstances:

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8.1 Party B's bankruptcy or other entities to take over the company's assets are;

8.2 Fairfield has sufficient reason to believe that IB provides false or misleading propaganda materials, the agreement will be terminated immediately;

8.3 This agreement is valid for one year, unless either party to the contract in advance 30 days notice, otherwise the contract will be automatically extended for one year;

8.4 IB in violation of the terms of this agreement, Fairfield may unilaterally by the will determine whether the IB default even if IB have objections.

## 9. Applicable law

9.1 This Agreement shall be interpreted and management according to English law, the two sides should appeal to a British court.

9.2 The two sides agreed to all transactions affected by the FCA regulations and the legal management, this agreement is not legally binding of other countries or regions.

**Please fill in the following information**

Account name:

ID number:

Contact number:

E-mail:

Bank account number :

Name of bank:

Bank address:

SWIFT Code:

Party A signature/ Seal

Party B signature/ Seal

Write the date:

Write the date:

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